

## HAMILTON, BROOK, SMITH &amp; REYNOLDS, P.C.

02/28/02  
PTO
**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**  
*(Only for new nonprovisional applications under  
37 C.F.R. 1.53(b))*

 Attorney Docket No. 3336.1004-000  
 First Named Inventor or Application Identifier Eric T. Bovell  
 Express Mail Label No. EJ611949631US
11017 U.S. PTO  
10/09/01 19

02/28/02

Title of Invention

ADJUSTABLE SLED MODULE FOR MASS STORAGE DEVICES

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application, P.O. Box 2327,  
Arlington, VA 22202

1.  Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
2.  Specification **Total Pages [ 9 ]**  
*(preferred arrangement set forth below)*
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) **Total Sheets [ 5 ]**  
 Fig. of the Drawings for Publication [ 1 ]  
 No Figure to be Published
4.  Oath or Declaration **Total Pages [ 2 ]**
- a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. 1.63(d))  
*(for continuation/divisional with Box 17 completed)*
  - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5.  CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*

6.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a.  Computer Readable Form
  - b.  Paper Copy (identical to computer copy)
  - [ ] Pages
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & documents)  
 Assignee - Netezza Corporation  
Framingham, MA 01701-5400
8.  Power of Attorney  37 C.F.R. 3.73(b) Statement
9.  English Translation Document *(if applicable)*
10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
11.  Preliminary Amendment
12.  Return Receipt Postcard
13.  Small Entity Statement(s)
- 14a.  Foreign Priority Claim under 35 U.S.C. §119 or 365
- 14b.  Certified Copy of Priority Document(s)
15.  Nonpublication Request *(check parent application)*
16.  Other \_\_\_\_\_

## 17. If a CONTINUING APPLICATION, check appropriate box; supply the requisite information.

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner: Group Art Unit:

The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.  
*(Add standard Related Applications section with incorporation by reference to specification or update same)*

## 18. CORRESPONDENCE ADDRESS

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Signature	<i>Jon C. Trachtenberg</i>	Date	2/28/02
Submitted by Typed or Printed Name	Jon C. Trachtenberg	Reg. Number	45,455

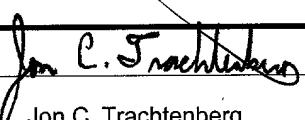
# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

<b>FEE TRANSMITTAL FOR PATENT APPLICATIONS</b>	Attorney Docket Number	3336.1004-000
	Application Number	
	First Named Inventor	Eric T. Bovell

## CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	<b>TOTAL CLAIMS (37 CFR 1.16(c) or (i))</b>	16 - 20* =	0	x \$ 18 =	\$ 0
	<b>INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))</b>	2 - 3** =	0	x \$ 84 =	\$ 0
	<b>MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))</b>			+ \$ 280 =	\$
				<b>BASIC FEE (37 CFR 1.16(a) or (h))</b>	\$ 740
				<b>Total of above Calculations =</b>	\$ 740
				Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =	\$ 370
				<b>TOTAL =</b>	<b>\$ 370</b>
				Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =	\$
				Petition for Extension of Time Fee (37 C.F.R. 1.17) =	\$
				Assignment Recordation Fee = (only when filed with application)	\$ 40
	<small>* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent</small>				<b>TOTAL = \$ 410</b>

1. Small entity status:
  - a.  A small entity statement is enclosed.
  - b.  A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
  - c.  Is no longer claimed.
2.  A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.
3.  A check is enclosed for **\$410.00**.  Please charge \$[ ] to Deposit Account No. 08-0380.
4.  Other: \_\_\_\_\_

Signature		Date	2/28/02
Submitted by Typed or Printed Name	Jon C. Trachtenberg	Reg. Number	45,455